

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

CHARLES J. SALERNO,

Plaintiff,

CIV. S-04-2312 PAN

v.

JO ANNE B. BARNHART,
Commissioner of Social
Security,

Memorandum of Decision

Defendants.

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Pursuant to 42 U.S.C. § 405(g) plaintiff seeks review of a final decision of the Commissioner of Social Security denying plaintiff's application for disability benefits under Title II of the Social Security Act, 42 U.S.C. §§ 401, et seq.

Both parties moved for judgment on the record.

The social security disability insurance program established by Title II of the Social Security Act pays cash benefits to disabled persons who have contributed to the program

1 and retain "insured" status. 42 U.S.C. §§ 423(a)(1)(d),
2 416(i)(2)(C), 416(i)(3).

3 To qualify, a claimant must establish inability to engage
4 in "substantial gainful activity" because of a "medically
5 determinable physical or mental impairment" that "has lasted or
6 can be expected to last for a continuous period of not less than
7 12 months." 42 U.S.C. § 423(d)(1)(A). The disabling impairment
8 must be so severe that, considering age, education, and work
9 experience, the claimant cannot engage in any kind of substantial
10 gainful work that exists in the national economy. 42 U.S.C. §
11 423(d)(2)(A).

12 The Commissioner makes this assessment by a five-step
13 analysis. First, the claimant must not currently be working. 20
14 C.F.R. § 404.1520(b). Second, the claimant must have a "severe"
15 impairment. 20 C.F.R. § 404.1520(c). Third, the medical
16 evidence of the claimant's impairment is compared to a list of
17 impairments that are presumed severe enough to preclude work; if
18 the claimant's impairment meets or equals one of the listed
19 impairments, benefits are awarded. 20 C.F.R. § 404.1520(d).
20 Fourth, if the claimant can do his past work benefits are denied.
21 20 C.F.R. § 404.1520(e). Fifth, if the claimant cannot do his
22 past work and, considering the claimant's age, education, work
23 experience, and residual functional capacity, cannot do other
24 work that exists in the national economy, benefits are awarded.
25 20 C.F.R. § 404.1520(f). The last two steps of the analysis are
26 required by statute. 42 U.S.C. § 423(d)(2)(A).

1 Plaintiff applied for benefits in April 1997 at age 58
2 years, claiming disability since February 28, 1996¹, due to "eye
3 strain, lower back pain, pain in joints and legs, varicose veins,
4 fingers lock-up, shortness of breath, short term memory loss,
5 ringing in ears, depression, trouble sleeping, ingestion [sic],
6 diarrhea." Tr. 86, 96.

7 Plaintiff's claim was denied initially and upon
8 reconsideration by the Social Security Administration. An
9 unfavorable decision was made October 26, 1998, which plaintiff
10 appealed. On July 12, 2002, pursuant to a stipulated remand from
11 the United States Court of Appeals for the Ninth Circuit, the
12 Appeals Council vacated the October 1998 decision and remanded
13 the case for a new hearing and decision. The Appeals Council
14 specifically ordered on remand: (1) "further consideration of the
15 issue of claimant's disability status prior to December 31, 2001,
16 his date last insured for Title II disability benefits; (2)
17 further evaluation of claimant's maximum residual functional
18 capacity, with specific reference to evidence of record in
19 support of assessed limitations; (3) further consideration of all
20 the evidence of record, including evidence regarding claimant's
21 eye impairments, prosthetic left eye and monocular vision in the
22 right eye; and (4) clarification of inconsistencies in the vacated
23 decision regarding claimant's ability to stand and walk." Tr.

24
25

¹ Plaintiff's application for disability insurance benefits lists an
onset date of February 28, 1996 (Tr. 86), but his disability report states he
26 became disabled on February 1, 1997. Tr. 96. The ALJ opinion of 2004 lists
February 28, 1996 as the onset date.

1 239.

2 The Appeals Council also ordered additional evidence be
3 requested from the treating sources, including medical source
4 statements as to what plaintiff can do despite his limitations.
5 Tr. 239. Plaintiff's attorney did not send the copying fee
6 requested by plaintiff's treating physician and therefore did not
7 receive any additional evidence. Tr. 239. Further, the record
8 shows that claimant discussed the medical source statement with
9 his treating physician but requested that the physician not
10 complete the form. Tr. 239-40, 394. Finally, as ordered by the
11 Appeals Council, medical experts and a vocational expert were
12 obtained.

13 In July 2004, after a hearing in December 2003, an
14 administrative law judge found that: plaintiff is insured through
15 December 31, 2001; plaintiff has not engaged in substantial
16 gainful activity since his alleged onset date; plaintiff has the
17 severe impairment of arthritis, in addition to depression, a left
18 eye prosthesis, monocular vision in his right eye, an alleged
19 hand impairment; plaintiff's impairments do not meet or equal an
20 impairment in the Listing of Impairments at 20 C.F.R. Part 404,
21 Supart P, Appendix 1; plaintiff's testimony is not fully
22 credible; plaintiff has the residual functional capacity for
23 light work that does not require sitting for more than four to
24 six hours in an eight-hour work day, standing for more than six
25 hours, or any repetitive bending, squatting, twisting, or
26 kneeling, or more than frequent reaching, handling or fingerling;

1 plaintiff is unable to perform his past relevant work; plaintiff
2 is of advanced age, with a limited education and a history of
3 skilled and semi-skilled work; plaintiff has the following skills
4 acquired in his past relevant work: forklift driving,
5 written/math semi-skills, material moving, stock checking,
6 customer service, and scheduling; and, that there are jobs
7 existing in the significant numbers that plaintiff is able to
8 perform using his transferable skills with very little, if any,
9 vocational adjustment and requiring no additional skills. Tr.
10 249.

11 Plaintiff has exhausted his administrative remedies² (Tr.
12 225-32) and again seeks judicial review.

13 This court must uphold the Commissioner's determination
14 that a plaintiff is not disabled if the Commissioner applied the
15 proper legal standards and if the Commissioner's findings are
16 supported by substantial evidence. Orteza v. Shalala, 50 F.3d
17 748 (9th Cir. 1995). Substantial evidence is more than a mere
18 scintilla but less than a preponderance; it means such relevant
19 evidence as a reasonable mind might accept as adequate to support
20 a conclusion. Id.

21

22 ² The notice of appeal provided 60 days to file an appeal from the ALJ's
23 decision. On August 5, 2004, the Appeals Council notified plaintiff that an
24 incorrect notice was issued with the ALJ's decision and explained the appeal
25 process and deadlines. On August 23, 2004, plaintiff's attorney wrote the
Appeal's Council, requesting remand for a new hearing. On September 3, 2004,
26 plaintiff wrote the Appeals Council, objecting to the ALJ's July 2004
decision. It appears that the Appeals Council never responded to plaintiff's
objections. However, both parties both agree that plaintiff has exhausted his
administrative remedies.

1 Plaintiff contends that the administrative law judge
2 erred by: (1) rejecting examining physician Dr. Kako's opinion
3 when he had accepted it in the previous decision; (2) failing to
4 provide "good reasons" for rejecting the opinion of treating
5 physician Dr. Gilbert; (3) misstating the vocational expert's
6 testimony that plaintiff had transferrable skills; (4) concluding
7 that plaintiff's impairments, alone or in combination, do not
8 meet or equal a listed impairment; (5) finding that plaintiff's
9 depression was not severe; and, (6) failing to provide a basis
10 for his assessment of plaintiff's residual functional capacity.

11 Plaintiff testified that he was born November 26, 1938,
12 and completed school through the tenth grade. Tr. 260. He
13 testified that he worked at the poultry warehouse since 1959
14 until the company went out of business and he was laid off.
15 Tr. 262, 265. At the first hearing in 1997, plaintiff testified
16 that it was a coincidence that the day he got laid off, he had a
17 doctor's appointment for his back, and soon thereafter began
18 receiving state disability benefits. Tr. 33, 34. Plaintiff
19 explained that his job consisted of "warehousing, receiving,
20 putting up orders, taking care of customers, the will-call
21 customers. Using electric dollies . . . limited forklift . . .
22 and a lot of heavy lifting." Tr. 263. He testified that after
23 the company went out of business, he tried to work from home
24 doing woodwork that he would sell. Tr. 265. Plaintiff testified
25 that the woodworking was difficult physically, mostly because his
26 back hurt, and that he never made a profit from it. Tr. 266.

1 With regard to his orthopedic limitations experienced
2 while working at a poultry warehouse, plaintiff testified that
3 the pain he had in his back was "close to a 10" on a scale of 1
4 to 10, and that he would "push himself to get through the day."
5 Tr. 270. Plaintiff testified that when he stopped working the
6 pain level was closer to a "3 or 4" and would be aggravated when
7 he lifted something heavy or made an awkward move. Tr. 271. He
8 testified that it would take one to two weeks to recover from
9 such aggravation during which time he would lay in bed all day.
10 Tr. 273. With regard to his hands, plaintiff testified that
11 while he was working at the poultry warehouse, his fingers would
12 occasionally "lock up." Tr. 280. He testified that after he
13 quit working, his hands continued to lock up two to three times a
14 week. Tr. 281. Plaintiff's attorney stipulated that the fingers
15 "locking up" is not a severe impairment. Tr. 284. Plaintiff did
16 however, complain that his hands often swelled and caused him
17 pain. Tr. 284. Plaintiff testified that he was unable to take
18 pain medication because it upsets his stomach due to an ulcer
19 operation he had in 1974. Tr. 295. Plaintiff testified he takes
20 Effexor and Prozac for depression. Tr. 297.

21 First, plaintiff argues that the administrative law judge
22 improperly rejected the opinion of Dr. Rongy Kako, M.D. Dr. Kako
23 examined plaintiff on May 10, 1997, and opined that he could do
24 4-6 hours of work in an 8-hour day. Tr. 155-57. Plaintiff
25 argues that the ALJ accepted Dr. Kako's limitation to part-time
26 work in his 1998 decision, but rejected it in the 2004 decision.

1 This is not an accurate characterization of the ALJ's findings
2 concerning Dr. Kako's opinion.

3 In 1998, the ALJ found that plaintiff had the RFC for:

4 a range of sedentary and light work with no
5 repetitive bending, squatting, twisting, or
6 kneeling. Claimant is able to sit for four to six
7 hours in an eight-hour work day, and is able to
8 stand and walk for six hours. This RFC is based on
9 claimant's testimony that he is able to perform a
10 range of daily activities without limitations, as
11 well as the fact that claimant performed work at
12 the heavy exertional level until his alleged
13 disability onset, and there is no medical evidence
14 that his physical condition has changed
15 substantially since then. Giving the plaintiff
16 the benefit of the doubt that his back and hand
17 pain limit his ability to perform heavy or medium
18 work, I find that he can do sedentary and light
19 work. This RFC is consistent with that of the
20 consultative examiner who opined that, taking into
21 account claimant's "purely subjective" complaints
22 of pain, claimant could perform four to six hours
23 of work, with no postural limitations. As
24 discussed below, I do not credit claimant's
25 subjective complaints of pain, and find that
claimant has the RFC for sedentary and light work.
Tr. 20.

17 Far from adopting Dr. Kako's limitation to part-time
18 work, the ALJ described Dr. Kako's opinion as based on
19 plaintiff's "purely subjective complaints," which the ALJ then
20 discredited. The ALJ merely noted that, even based on
21 plaintiff's subjective complaints, Dr. Kako thought plaintiff
22 could perform four to six hours of work each day. The ALJ then
23 discredited plaintiff's subjective complaints and found plaintiff
24 capable of working for a full eight hour day if the work was
25 light and sedentary.

26 ////

1 This is consistent with the ALJ's decision in 2004,
2 wherein he again remarked that Dr. Kako's opinion is based purely
3 on plaintiff's subjective complaints. Specifically, the ALJ
4 wrote:

5 Also not fully discussed in the prior decision is
6 a report from a consultative examiner, Rony Kako,
7 M.D., who performed a physical examination in
8 connection with claimant's Social Security
9 application on May 10, 1997. Exhibit 3F; TR 155-
10 157. The examination is noteworthy for having no
11 medical records to review, relying solely on
12 "history . . . obtained from the patient" and
13 providing a functional capacity assessment "based
14 on today's examination and the patient's history,
15 and purely on his subjective complaints . . ."
16 [emphasis added]. Exhibit 3F/1 and 3; TR 155 and
17 157.

18 The examination states that claimant is most
19 limited by a "sore back" which he hurt 10 years
ago and now "hurts all the time." According to
20 claimant, he can sit $\frac{1}{2}$ hour, stand $\frac{1}{2}$ hour and walk
21 1-2 blocks. Claimant complains of pain that is
22 "constant, sharp, dull and aching in nature." The
23 consultative examiner found a full range of motion
24 of the neck, back, shoulders, elbows, wrists,
25 hips, knees and ankles. He found mild tenderness
to palpitation at the L2-L5 spine levels with no
radicular symptoms. The examiner found claimant
not able to flex the left third finger completely
but he retained normal bilateral grip strength.
Claimant's motor and sensory examinations were
normal as were reflexes, coordination and gait.
Exhibit 3F; Tr. 155-157.

26 Notwithstanding a normal examination, Dr. Kako
concluded that claimant "can kneel, crouch, crawl,
bend, lift and carry 15 pounds on a frequent
basis," i.e., up to 2/3 a workday. The doctor
added, "He should be able to perform 4-6 hours of
work in an 8-hour workday with usual and customary
breaks." Exhibit 3F/3; TR 157. There is no
support whatsoever based on the doctor's own
examination results for limiting claimant to part-
time work. He had no records to review and
candidly stated he relied purely on claimant's
subjective complaints, which do not constitute a

1 valid, reliable or probative basis for adopting
2 the examiner's assessment. I find the doctor's
3 limitation to part-time work to also be
4 unsupported by his own examination and
5 inconsistent with the record as a whole, including
6 claimant's testimony. There is no reliable basis
7 in the examiner's report for a more restrictive
8 RFC than that discussed above. Tr. 244.

9
10 Furthermore, there were specific, legitimate reasons
11 supported by substantial evidence in the record for rejecting Dr.
12 Kako's opinion. See Andrews v. Shalala, 53 F.3d 1035, 1043 (9th
13 Cir. 1995).

14 The opinion of Dr. Calvin R. Nash, M.D., an orthopedic
15 surgeon, contradicted Dr. Kako's opinion. Dr. Nash found
16 plaintiff capable of light work with no part-time limitation.
17 Dr. Nash made this assessment after examining plaintiff in June
18 2003. The ALJ articulated a host of specific and legitimate
19 reasons for not accepting the limitation to part-time work, in
particular, the fact that such limitation was based purely on
plaintiff's incredible subjective complaints. Accordingly, the
ALJ did not err in rejecting Dr. Kako's opinion that plaintiff be
limited to part-time work.

20 Next, although it is not entirely clear, plaintiff
21 appears to argue that the ALJ erred by failing to provide good
22 reasons for rejecting the opinion of plaintiff's treating
23 physician, Dr. Larry L. Gilbert, M.D., as expressed in Dr.
24 Gilbert's October 2, 1998, report. That report states:

25 he [plaintiff] has had very significant subjective
26 complaints over the last several years which have
become worse as time passes. He has problems with

1 pain in his neck and back and pain and stiffness
2 in his hands. He has had problems with mobility
3 of his middle finger Presently he has been
4 unable to perform any useful work because of the
5 difficulty with his generalized musculoskeletal
6 pain and, in particular, his hands. Objective
7 evidence for this are noted on x-rays done on
9/8/98. This includes a lumbosacral spine x-ray
that shows degenerative osteoarthritis of both
wrists, the right wrist being worse than the left.
It should be noted also that he had cervical spine
x-rays on 7/19/85 that showed minimal degenerative
changes at that time. Tr. 221 (Emphasis added).

8 The ALJ noted, however, that a year before, on October
9 15, 1997, Dr. Gilbert found in his Residual Functional Capacity
10 assessment, that plaintiff can "lift up to 10 pounds frequently
11 and 20 pounds occasionally, carry up to five pounds frequently
12 and 20 pounds occasionally and bend, climb and reach
13 occasionally." Tr. 242, 187-88. Dr. Gilbert also found
14 plaintiff capable of using his hands for repetitive grasping,
15 pushing, pulling and fine manipulations. Tr. 242, 187-88.

16 As he did in his 1998 decision, the ALJ again found Dr.
17 Gilbert's opinion "not entitled to great weight." The ALJ noted
18 that on November 19, 1996, plaintiff presented to Dr. Gilbert,
19 whose notes indicate "Charles has no major problems." Tr. 242,
20 180. Further, those notes state that plaintiff "is doing
21 reasonably well with the depression and he is starting to do some
22 work in the garage with making things for sale over the
23 holidays." Tr. 180. A review of his systems was "unremarkable"
24 and Dr. Gilbert noted that he has not had "any [medical] problems
25 recently at all." Tr. 180. Significantly, these notes post-date
26 plaintiff's alleged onset date of February 28, 1996. Tr. 86.

1 Further, the ALJ noted that in February 7, 1997, claimant
2 sought treatment for back pain, which developed after plaintiff
3 did a roofing project. Tr. 242. Dr. Gilbert recommended
4 exercises and wrote, "I do not think that anything else needs to
5 be done." Tr. 242, 179. The ALJ noted that on March 13, 1997,
6 Dr. Gilbert vaguely found that plaintiff was having "more and
7 more problems" and that permanent disability "is probably a
8 reasonable thing to consider." Tr. 242, 179. Next, the ALJ
9 notes that Dr. Gilbert treated plaintiff primarily for high
10 cholesterol, although plaintiff had another "flare up" in January
11 1998 after carrying a couch and doing "some pushing and pulling in
12 the garage." Tr. 218, 242. At that visit, Dr. Gilbert advised
13 plaintiff "there is no specific testing that would help" and that
14 he "should develop and maintain a walking program and avoid doing
15 the activities that seem to flare it up." Tr. 218.

16 Furthermore, on May 9, 1997, plaintiff presented to Dr.
17 Gilbert for follow-up on a lacerated thumb and for high
18 cholesterol; he reported "feeling okay." Tr. 219. Plaintiff saw
19 Dr. Gilbert on August 8, 1997, but the treatment notes mention
20 only plaintiff's cholesterol level and thumb. Tr. 219.

21 Then, as the ALJ notes, seemingly out of the blue in
22 September 1997, Dr. Gilbert wrote a letter "to whom it may
23 concern" that plaintiff has chronic low back pain and has
24 "significant disability with pain and stiffness of his hands,"
25 and that "he is not able to return to his customary work
activities and on a permanent basis will not be able to do any

1 heavy lifting or repetitive bending or squatting He is
2 permanent and stationery with this condition." Tr. 186.

3 Following this letter is Dr. Gilbert's October 15, 1997,
4 residual functional capacity assessment as discussed above, then
5 the letter of October 2, 1998, also discussed above.

6 As a general rule, more weight is given to a treating
7 physician's opinion because "he is employed to cure and has a
8 greater opportunity to know and observe the patient as an
9 individual." Sprague v. Bowen, 812 F.2d 1226, 1230 (9th Cir.
10 1987). However, where the opinion of a treating physician is
11 contradicted by a nontreating physician's opinion, the latter may
12 constitute substantial evidence if it rests on independent
13 clinical findings. Magallanes v. Bowen, 881 F. 2d 747, 751 (9th
14 Cir. 1989). "Where, on the other hand, a nontreating source's
15 opinion contradicts that of the treating physician but is not
16 based on independent clinical findings, or rests on clinical
17 findings also considered by the treating physician, the opinion
18 of the treating physician may be rejected only if the ALJ gives
19 specific, legitimate reasons for doing so that are based on
20 substantial evidence in the record." Andrews v. Shalala, 53 F.3d
21 1035, 1041 (9th Cir. 1995) (citations omitted).

22 However, "[a] statement by any physician that the
23 claimant is disabled or unable to work is a conclusion on the
24 ultimate issue to be decided . . . and is not binding on the
25 [ALJ] in reaching his determination as to whether the claimant is
26 disabled within the meaning of the [Act]." Murray v. Heckler,

1 722 F.2d 499 (9th Cir. 1983) (citing *Burkhart v. Bowen*, 856 F.2d
2 1335 (9th Cir. 1988); 20 C.F.R. §§ 404.1527 and 404.927). It is
3 well established that "the ALJ need not accept a treating
4 physician's opinion which is 'brief and conclusionary in form
5 with little in the way of clinical findings to support [its]
6 conclusion." Young v. Heckler, 803 F.2d 963, 968 (9th Cir.
7 1986). Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir. 1989).

8 The ALJ relied on the opinion of examining physician Dr.
9 Calvin R. Nash, an orthopedic surgeon, who found plaintiff had
10 "degenerative arthritis not inconsistent with his age" and "good
11 functioning joints." Tr. 245, 387. He found plaintiff had a
12 limited range of motion of the right wrist but that he could do
13 light duty work. Tr. 245, 387. This opinion contradicts Dr.
14 Gilbert's contradictory, inconsistent, and conclusionary opinions
15 as to plaintiff's status as "disabled."

16 The ALJ did not err in relying on Dr. Nash's opinion
17 rather than on Dr. Gilbert's contradictory opinions, which
18 evidence little objectively wrong with plaintiff and appear to be
19 aimed at getting benefits for plaintiff rather than treating him
20 for a "disabling" condition.

21 (Apparently, when Dr. Gilbert retired, Dr. Turnune
22 undertook to act as plaintiff's treating physician. Ex. F-19.
23 It was Dr. Turnune's records and opinion that plaintiff declined
24 to provide on remand. The obvious inference is that the opinion
25 of the physician who was treating plaintiff at the most relevant
26 time did not support plaintiff's claims and plaintiff suppressed

1 it.)

2 Next, plaintiff argues that the ALJ "misstated" the
3 vocational expert's testimony that plaintiff had transferrable
4 skills.

5 Agency regulations consider "advanced age" (age
6 fifty-five or older) as "the point where age significantly
7 affects a person's ability to do substantial gainful activity."
8 Terry v. Sullivan, 903 F.2d 1273, 1275 (9th Cir. 1990) (citing 20
9 C.F.R. § 404.1563(d)). For claimants of advanced age to be not
10 disabled, they must have acquired skills from their past work
11 that are transferable to skilled or semiskilled work. See id.
12 "The ALJ must either make a finding of 'very little vocational
13 adjustment' or otherwise acknowledge that a more stringent test
14 is being applied which takes into consideration [claimant's]
15 age." Renner v. Heckler, 786 F.2d 1421, 1423 (9th Cir. 1986)
16 (per curiam) (quoting 20 C.F.R. Pt. 404, Subpt. P, App. 2,
17 201.00(f)).

18 Here, the ALJ made the finding of "very little vocational
19 adjustment." Tr. 248. More specifically, the ALJ cited the
20 vocational expert's testimony that plaintiff had acquired the
21 following skills in his past work: forklift driving, written/math
22 semi-skills, material moving, stock checking, customer service,
23 and scheduling. Tr. 247-48. The vocational expert testified
24 that plaintiff could work as a distributing clerk (semi-skilled
25 light work), which would use plaintiff's customer service,
26 material moving and stock checking skills, and would require no

1 other skills. Tr. 248, 313-315, 327-330. The vocational expert
2 also testified that the material handler skills and customer
3 service skills could be transferred to a job of auto self-service
4 attendant. Tr. 316-17. The ALJ incorporated the vocational
5 expert's findings about plaintiff's skills applicable to these
6 other jobs in his findings (Tr. 247-49), and then made the
7 requisite finding that plaintiff would need "very little
8 vocational adjustment" to perform these jobs. Tr. 248.
9 Accordingly, the ALJ did not err in relying on the vocational
10 expert's testimony to determine plaintiff had acquired
11 transferable skills.

12 Next, plaintiff argues that the ALJ erred by "failing to
13 adequately explain his evaluation of the combined effects" of
14 plaintiff's impairments. Specifically, plaintiff argues that the
15 ALJ merely provided a "boilerplate" finding that plaintiff's
16 impairments alone or combination did not meet or equal an
17 impairment in the Listing of Impairments. Plaintiff does not
18 argue that he meets or equals a particular listing, but takes
19 issue merely with the language the ALJ used in making this
20 determination.

21 Far from just providing "boilerplate" the ALJ provided
22 in-depth analysis of the medical record relating to all
23 plaintiff's impairments. Tr. 240-46. He did not just cite
24 "boilerplate" as plaintiff contends. Plaintiff's argument is
25 without merit and the ALJ did not err in making the assessment at
26 step-three of the analysis.

1 Next, plaintiff argues that the ALJ erred in finding that
2 plaintiff's depression was not severe.

3 The determination whether an impairment or impairments
4 are severe is based on a review of the entire record, as well as
5 an assessment of the claimant's credibility. Smolen v. Chater,
6 80 F. 3d 1273, 1290 (9th Cir. 1996); see also SSR 96-3p. "An
7 impairment or combination of impairments is not severe if it does
8 not significantly limit [a claimant's] physical or mental ability
9 to do basic work activities." 20 C.F.R. 416.921(a).

10 At the hearing, plaintiff testified that "he's been having
11 depression for years." Tr. 276. He testified that Dr. Turnure
12 treats him for depression (Tr. 276); however, plaintiff's
13 attorney failed to obtain Dr. Turnure's medical records for the
14 ALJ to review and plaintiff requested that such records not be
15 made available. Tr. 277, 394. Nonetheless, the ALJ reviewed the
16 evidence available to him. He noted that Dr. Gilbert treated
17 plaintiff for depression, and noted in October 1996 that the
18 "Effexor has made a great difference in [plaintiff]." Tr. 181,
19 246. Notably, Dr. Gilbert's notes from May 1997, August 1997,
20 November 1997, January 1998, March 1998, May 1998, and June 1998
21 make no mention of plaintiff's depression. Tr. 217-19. The
22 record further indicates that a consultative examination by Dr.
23 Hazem Hashem, M.D., in May 1997 revealed that plaintiff took
24 Effexor, which made "things look a little better to him." Tr.
25 153. In addition, plaintiff indicated that he had not had any
26 therapy or particular psychiatric treatment. Tr. 153. Dr. Hazem

1 Hashem opined that "patient's depression is well under control
2 with medication." Tr. 154. Finally, at the hearing, plaintiff
3 did not offer any evidence that his depression was in any way
4 debilitating, only that he took medication for it. Tr. 297.
5 Accordingly, the ALJ's finding that plaintiff's depression was
6 not severe is supported by substantial evidence in the record.

7 Finally, plaintiff argues that the ALJ failed to provide a
8 basis for his assessment of plaintiff's residual functional
9 capacity.

10 Residual functional capacity is what a person "can still do
11 despite [the individual's] limitations." 20 C.F.R. §§
12 404.1545(a), 416.945(a) (1996); see also Valencia v. Heckler, 751
13 F.2d 1082, 1085 (9th Cir. 1985). It is an assessment based on
14 all relevant evidence, and may include descriptions of the
15 limitations by the claimant, observations by physicians, and
16 other medical records. Id.

17 In making his assessment of plaintiff's residual functional
18 capacity, the ALJ considered all such evidence and, contrary to
19 plaintiff's assertion, provided an adequate basis for his
20 finding. In particular, the ALJ wrote:

21 Addressing the issues raised by the Appeals Council, I
22 note that in my prior decision I found that claimant
23 has the RFC for a reduced range of sedentary and light
24 work. I found that claimant is able to sit for four to
25 six hours in an eight-hour work day, stand and walk for
six hours and cannot perform repetitive bending,
squatting, twisting, or kneeling. This is the RFC that
was discussed in the body of the prior decision and the
RFC propounded to the vocational expert at the hearing.
. . . The discrepancy reflected in the findings section
of the prior decision was the result of a drafting

1 error. As discussed below, I find the RFC set forth
2 above and in the body of the prior decision to
3 accurately describe claimant's work capacity, however,
4 I give claimant the benefit of the doubt and find
further that he is unable to perform more than frequent
reaching, handling, or fingering due to an alleged hand
impairment. Tr. 240-41.

5 The ALJ went on to explain the basis of his new RFC
6 assessment, discussing evidence provided by ophthalmologist
7 Richard Leiurance, M.D., who testified after an examination that
8 plaintiff has had no difficulty working or driving an automobile
9 throughout his adult life, despite having a left eye prosthesis
10 since the age of sixteen. Further, the medical expert explained
11 that having monocular vision produces no significant difficulty
12 seeing and that plaintiff's eye impairment is not disabling. Tr.
13 241.

14 Further, the ALJ discussed in detail the medical evidence
15 concerning plaintiff's arthritis (Tr. 241-43), plaintiff's back
16 pain (Tr. 243), his depression (Tr. 246), and his alleged hand
17 impairment (Tr. 246). The ALJ reviewed and weighed the myriad
18 medical opinions as to plaintiff's conditions and limitations,
19 and ultimately relied on the opinion of Dr. Nash, an examining
20 physician, in determining plaintiff's RFC. Tr. 245-47.
21 Plaintiff's contention that the ALJ failed to provide a basis for
22 his assessment is wholly without merit upon review of the
23 decision itself and the substantial evidence in the record.

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1 For the foregoing reasons, plaintiff's motion for summary
2 judgment is denied and defendant's cross-motion for summary
3 judgment is granted.

4 So ordered.

5 Dated: November 30, 2005.

6 /s/ Peter A. Nowinski
7 PETER A. NOWINSKI
Magistrate Judge